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Approved for use through 10/31/2002. OMB 0651-0032
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 47225
 First Inventor Shoichi Kiyomoto
 Title Method of Securely Transmitting Information
 Express Mail Label No. EJ 874 818 156 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 18]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 3]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent Deposit Acct debit authorization
17. ☒ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

020454

or ☐ Correspondence address below

PATENT & TRADEMARK OFFICE

Name _____

Address _____

City _____

Country _____



020454

Date _____

Zip Code _____

none

Fax _____

Name (Print/Type)

JEFFREY C. LEW

Registration No. (Attorney/Agent)

35935

Signature

Date

22 JANUARY 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

01/22/01
35962 U.S.

PTO/SB/17 (11-00)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

355

Complete if Known

Application Number	
Filing Date	January 22, 2001
First Named Inventor	Shoichi Kiyomoto
Examiner Name	
Group Art Unit	
Attorney Docket No.	47225

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number
Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity	Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
101	710	201	355	Utility filing fee
106	320	206	160	Design filing fee
107	490	207	245	Plant filing fee
108	710	208	355	Reissue filing fee
114	150	214	75	Provisional filing fee

Fee Paid
355

SUBTOTAL (1) (\$)

355

2. EXTRA CLAIM FEES

Total Claims 11 -20** = 0 x Fee from below = 0
Independent Claims 2 -3** = 0 x Fee Paid = 0
Multiple Dependent = 0

	Large Entity	Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

	Large Entity	Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	390	216	195	Extension for reply within second month
117	890	217	445	Extension for reply within third month
118	1,390	218	695	Extension for reply within fourth month
128	1,890	228	945	Extension for reply within fifth month
119	310	219	155	Notice of Appeal
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,240	241	620	Petition to revive - unintentional
142	1,240	242	620	Utility issue fee (or reissue)
143	440	243	220	Design issue fee
144	600	244	300	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Processing fee under 37 CFR 1.17(q)
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))
179	710	279	355	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0

SUBMITTED BY

Name (Print/Type) Jeffrey C. Lew

Registration No. 35935
(Attorney/Agent)

Complete (if applicable)

Telephone 302 475-7919

Signature 

Date 22 January 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETE FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patent Fees, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

SHOICHI KIYOMOTO
Serial No.: Not yet known
Filed: JANUARY 22, 2001
For: METHOD OF SECURELY TRANSMITTING INFORMATION

CERTIFICATE OF MAILING BY "EXPRESS MAIL

Express Mail Label No. EJ 874 818 156 US

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

I, the undersigned, hereby certify that this and the attached papers and fees listed below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 and are addressed to BOX PATENT APPLICATION, ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231 on this date: JANUARY 22, 2001.

- ☒ Utility Patent Transmittal - 1 page
- ☒ Specification - 18 pages
- ☒ Declaration and Power of Attorney - 3 pages
- ☒ Drawings - 3 sheets
- ☒ Copy of priority application Japanese application 2000-029938 - 17 pages
- ☒ Information Disclosure Statement - 9 pages
- ☒ Copy of information cited in Information Disclosure Statement 4 references
- ☒ Application Data Sheet - 1 page
- ☒ Fee Transmittal form - 1 page
- ☒ Form Authorizing Charge/Credit to Deposit Account - 1 page
- ☒ Check 1204 in amount of \$355 in payment of application filing fee.
- ☒ Return receipt post card
- ☒ This certificate of Express Mail

Respectfully submitted,



Date: JANUARY 22, 2001

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Wilmington DE 19810

Jeffrey C. Lew
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09766956-012201

Attorney Docket No. 47225

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:
Shoichi Kiyomoto

Serial No.: Not yet known

Filed: January 22, 2001

For: METHOD OF SECURELY TRANSMITTING INFORMATION

Assistant Commissioner
for Patents
Washington, D.C. 20231

AUTHORIZATION TO CHARGE/CREDIT DEPOSIT ACCOUNT

Dear Sir:

In connection with the fee transmittal(s) submitted herewith for the above-identified patent application, if any variance exists between the amount enclosed and the Patent Office charges for filing the submitted documents, including any fees required under 37 C.F.R. §1.136 for any necessary extension of time to make the filing of the submitted documents timely, the United States Patent and Trademarks Office is hereby authorized to charge or credit the difference to Deposit Account No. 121211 of the undersigned.

Respectfully submitted,



Jeffrey C. Lew
Attorney for Applicant
Registration No. 35,935
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Date: January 22, 2001

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